

# Introduction to WQO and BQO Theory

Rachel Sterken, Christian Kissig

April 20, 2006

## 1 Notation

- Let  $\leq_Q$  is a quasi-order, then
  - $x =_Q y$  iff  $x \leq_Q y$  and  $y \leq_Q x$
  - $x <_Q y$  iff  $y \leq_Q x$  and  $x \neq_Q y$
  - $x \parallel_Q y$  iff  $x \not\leq_Q y$  and  $y \not\leq_Q x$
- ${}^\alpha Q$ , the family of all  $Q$ -sequences  $f : \alpha \rightarrow Q$  of length  $\alpha$
- $<^\alpha Q = \bigcup_{\beta < \alpha} Q$
- $Seq(Q) = \bigcup_{\alpha \in On} Q$
- $Fin(S)$ , the set of finite sequences from  $S$
- $[Q]^{<\omega}$ , the set of finite subsets of  $Q$ .
- $T_Q$ , the family of  $Q$ -labelled trees
- For a finite  $Q$ -sequence  $s$ ,  $*s$  ( $s_*$ ) is  $s$  with the first (last) term deleted
- For  $Q$ -sequences  $s$  and  $t$ ,  $s \preceq t$  iff  $s$  is an initial segment of  $t$  ( $s = t \upharpoonright \alpha$ )
- $s \prec t$  iff  $s \preceq t$  and  $s \neq t$
- $s \triangleleft t$  iff  $*s \prec t$
- For  $B$ , a barrier,  $B(2) = \{b_1 \cup b_2 \mid b_1, b_2 \in B \wedge b_1 \triangleleft b_2\}$
- For barriers  $B$  and  $C$ ,  $(B \downarrow C) B \underline{\downarrow} C$  if  $B$  (strictly) foreruns  $C$
- For  $Q$ -patterns  $f$  and  $g$ ,  $(B \downarrow C) f \underline{\downarrow} g$  if  $f$  (strictly) foreruns  $g$

## 2 Definitions

**Definition 1 (Quasi-Order)**  $(X, \leq)$  is a Quasi-Ordering if  $\leq$  is reflexive on  $X$  and transitive.

**Definition 2 (Well-founded Quasi-Orders)** A Quasi-Order  $\leq_Q$  is well-founded iff there is no infinitely descending chain  $q_0 >_Q q_1 >_Q \dots$ .

**Definition 3 (Initial (Final) Segments of Quasi-Orders)** An Initial (Final) Segment of a quasi-ordered set  $Q$  is a downward (upward) closed subset  $X \subseteq Q$ , i.e. whenever  $x \in X$ , then  $\forall y \leq_Q x. y \in X$  ( $\forall x \leq_Q y. y \in X$ ).

**Definition 4 (Sequences)** A  $Q$ -Sequence is a function  $f : \alpha \rightarrow Q$  for  $\alpha \leq \omega$ . A  $Q$ -sequence  $f$  is infinite if  $\alpha = \omega$ , and finite otherwise. Furthermore, a  $Q$ -sequence  $f$  is

- good if there are  $i < j < \omega$  such that  $f(i) \leq_Q f(j)$
- bad if it is not good
- perfect if  $i \leq j$  implies  $f(i) \leq_Q f(j)$

**Definition 5 (Minimal Bad Sequences)** A bad sequence  $f(0) \not\leq_Q f(1) \not\leq_Q \dots$  is called Minimal Bad iff whenever  $g(0) \not\leq_Q g(1) \not\leq_Q \dots$  is a bad sequences, there is an index  $i < \omega$  such that  $f(j) = g(j)$  for  $j < i$  and  $f(i) \leq_Q g(i)$ .

**Definition 6 (Well-Quasi-Orders)**  $\leq_Q$  is a Well-Quasi-Order on  $Q$  if there is no bad  $Q$ -sequence.

**Definition 7 (Finite-Basis-Property)** Given a set  $Q$  quasi-ordered by  $\leq_Q$ .

- For any subset  $X \subseteq Q$ ,  $cl(X) = \{q \in Q \mid \exists x \in X. x \leq_Q q\}$ .
- A subset  $X \subseteq Q$  is called closed iff  $X = cl(X)$ .
- $Q$  has the Finite-Basis-Property iff any closed set in  $Q$  is the closure of a finite set.

**Definition 8 (Blocks)** Let  $B \subseteq [Q]^{<\omega}$  be infinite, then  $B$  is a block on  $Q$  if for every infinite  $X \subseteq Q$  there is an initial segment of  $X$  in  $B$  and for all  $b_0, b_1 \in B$ ,  $b_0 \not\prec b_1$ .

**Definition 9 (Barriers)** A block  $B$  on a set  $Q$  is a barrier iff  $B$  is an antichain w.r.t.  $\subseteq$ .

**Definition 10 (Better-Quasi-Orders)**  $\leq_Q$  is a Better-Quasi-Order on  $Q$  if there is no bad  $Q$ -pattern.

**Definition 11 (Forerunning)** Given barriers  $B$  and  $C$ , we says  $B$  foreruns  $C$ , written  $B \downarrow C$ , if

- $\bigcup C \subseteq \bigcup B$  and
- $\forall c \in C. \exists b \in B. b \preceq c$

$B$  strictly foreruns  $C$  ( $B \downarrow C$ ) if additionally

- $\exists c \in C. \exists b \in B. b \prec c$ , i.e.  $C \not\subseteq B$

Given a fixed rank function  $\rho : Q \rightarrow \text{On}$  and  $Q$ -patterns  $f : B \rightarrow Q$  and  $g : C \rightarrow Q$ , then we say  $f$  (strictly) foreruns  $g$ , written  $(f \downarrow g) f \downarrow g$ , if  $(B \downarrow C) B \downarrow C$  and

- $g(b) = f(b)$  if  $b \in B \cap C$
- $g(c) \leq_Q f(b)$  and  $\rho(g(c)) < \rho(f(b))$  if  $b \in B$  and  $c \in C$  and  $b \prec c$

### 3 Operations, and the order they preserve

Operation	preserve WQO	preserve BQO
subsets	yes (Milner 1.3)	
finite union	yes (Milner 1.4)	yes (Milner 2.13)
finite product	yes (Milner 1.5)	yes (Milner 2.15)
$^{<\omega}(-)$	yes (Higman's Theorem)	
$Fin(Seq(-))$	yes (Nash-William)	
$Seq(-)$	no	yes (Milner 2.22)
$[Q]^{<\omega}$	yes (Milner 1.7)	
$P(-)$	no (Rado)	yes (Milner 2.1)
$T_{(-)}$	yes (Kruskal's Theorem)	yes (Nash-William's Theorem)
$T_{(-)}^{\leq/\geq\omega}$	no (Rado)	yes (Nash-William's Theorem)